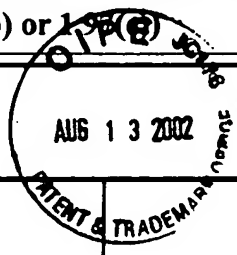


#4

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 00-17	
In Re Application Of: Yuan P. Li et al.				
Serial No. 10/039,242	Filing Date 12/31/2001	Examiner Unassigned	Group Art Unit 2661	
Title: WIDE PASSBAND OPTICAL INTERLEAVER				
<p>Address to: Assistant Commissioner for Patents Washington, D.C. 20231</p> <p>37 CFR 1.97(b)</p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p>37 CFR 1.97(c)</p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p>OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>				



COPY OF PAPERS
ORIGINALLY FILED

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
00-17

In Re Application: Yuan P. Li et al.

Serial No.
18/039,242

Filing Date
12/31/2001

Examiner
Unassigned

Group Art Unit
2661

WIDE PASSBAND OPTICAL INTERLEAVER

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F	
(Date)	
Signature	
Typed or Printed Name of Person Signing Certificate	

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.	
8/6/02	
Signature of Person Mailing Correspondence	
Marjorie Scariati	
Typed or Printed Name of Person Mailing Certificate	

*This certificate may only be used if paying by deposit account.

Karin L. Williams
Signature

Dated:

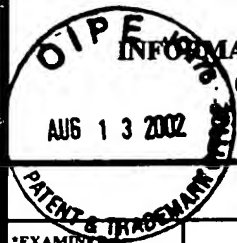
8/6/02

Karin L. Williams Reg. No. 36,721
Mayer Fortkort & Williams, PC
251 North Avenue West, 2nd Floor
Westfield, NJ 07090

Tel.: 908-518-7700

cc:

COPY OF PAPERS
ORIGINALLY FILED



INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

00-17

Application Number

10/039,242

Applicant(s)

Yuan P. Li et al.

Filing Date

12/31/2001

Group Art Unit

2661

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1	5,694,233	12/2/97	Wu et al.	359	117	
	2	6,215,923	4/10/01	Li	385	24	

COPY OF PAPERS
ORIGINALLY FILED

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.